

# SPACE-TIME METASURFACE OPTIMISATION AND APPLICATION

## INTRODUCTION

### Space-time metasurfaces (STM)

- Artificial surface of sub-wavelength scale periodic elements
- EM wave manipulation to control scattering of incoming electromagnetic wave harmonics; wavefront control (steering, focusing) allows for increased gain & decreased parasitic radiation
- Important in many areas of research – photonics, communications, remote sensing, etc.

**Primary challenge: generate space-time matrix (STMx) that fulfils specific requirements for various applications**

## THEORETICAL BACKGROUND

Eliminated time-dependence of time-periodic space-time matrix  $\Gamma$  by Fourier transform

$$\Gamma = \sum_{b=1}^L \frac{\Gamma_{pq}^n}{\pi m} \sin\left[\frac{\pi m}{L}\right] e^{-\frac{j\pi m(2b-1)}{L}}$$

$m$ : harmonic number,  $(N, M)$ :  $(x, y)$  space lengths of STMx,  $L$ : time length of STMx,  $k_1$ : incident wave wavenumber,  $k_2$ : outgoing wavenumber,  $\Gamma_{pq}^n$ : reflection coefficient of  $(p, q)$  element during interval  $(n-1)\tau \leq t \leq n\tau$

Far-field pattern derived from phasor sum of E-field contributions from each element [1]:

$$E(\theta, \phi) = \sum_{q=0}^{N-1} \sum_{p=0}^{M-1} E_{p,q}(\theta, \phi) \exp\{jk_2(p d_x \sin \theta \cos \phi + q d_y \sin \theta \sin \phi)\}$$

$$\sum_{b=1}^L \frac{\Gamma_{pq}^n}{\pi m} \sin\left[\frac{\pi m}{L}\right] e^{-\frac{j\pi m(2b-1)}{L}}$$

To account for near-field & oblique incidence cases, we simply add a phase shift to the summation:

**Near-field:**  $\exp\left\{jk_1 \left| \vec{r} - \begin{pmatrix} p d_x \\ q d_y \\ 0 \end{pmatrix} \right. \right\}$

**Oblique incidence:**  $\exp\{jk_1(p d_x \sin \theta_i \cos \phi_i + q d_y \sin \theta_i \sin \phi_i)\}$

## OPTIMISATION

To improve applicability of STM:

- Deflect main beam of a specific harmonic to desired angle
- Suppress all other harmonics and sidelobes

However, this problem is difficult to solve analytically (due to large  $x^n$  space of solution)

**Apply Genetic Algorithm ( $\mu + \lambda - GA$ ) (population of  $\mu$  and recombination pool of  $\lambda$ ) [2]**

Fitness function defined as  $4 \sum_i (x_{p_i} - x_{p_i,0}) - \max(p_1, \dots, p_i, i \neq i_{\text{shift}})$

Weighting constant to emphasize beam steering over sidelobe suppression

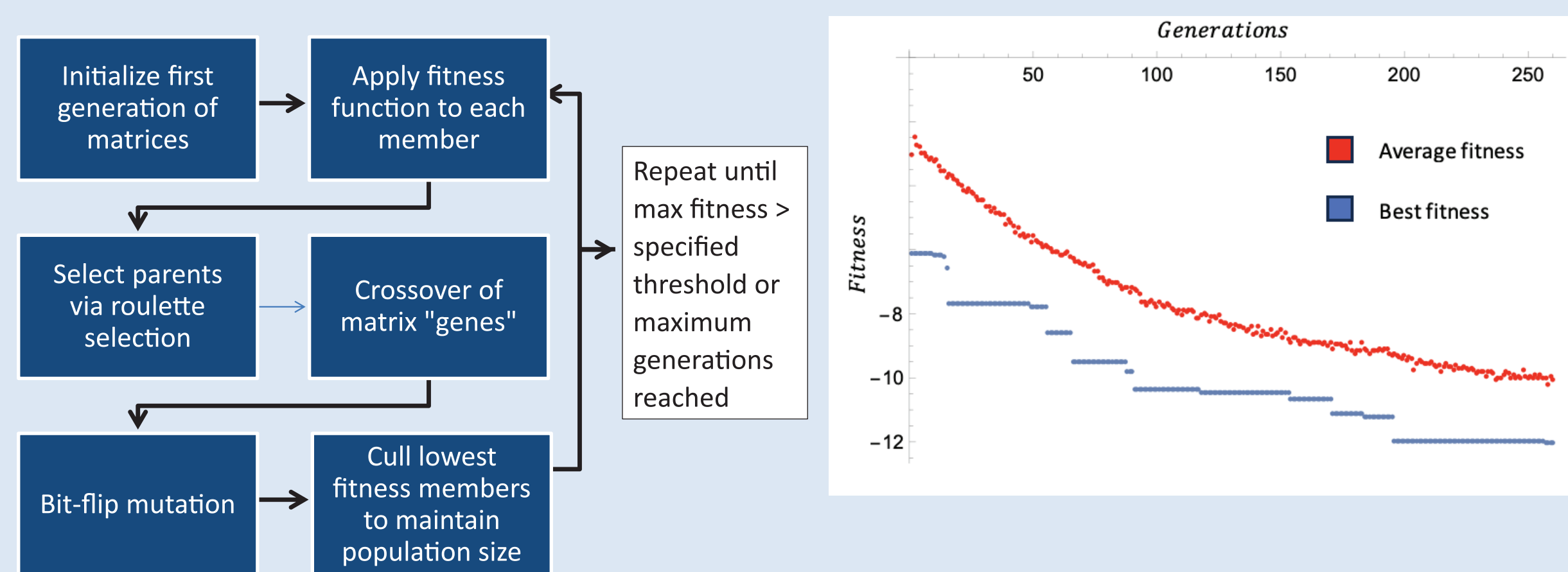
Penalizes increasing main beam distance ( $x_{p_i}$ ) from steering angle ( $x_{p_i,0}$ )

Penalizes large sidelobes or harmonic peaks ( $p_i$ )

Hyperparameters:

- Replacement rate = 0.25
- Mutation rate = 0.05
- Crossover probability = 0.9
- Initial population size = 100

Able to reach convergence in about 200 generations, each run takes less than 30 minutes to reach optimum.



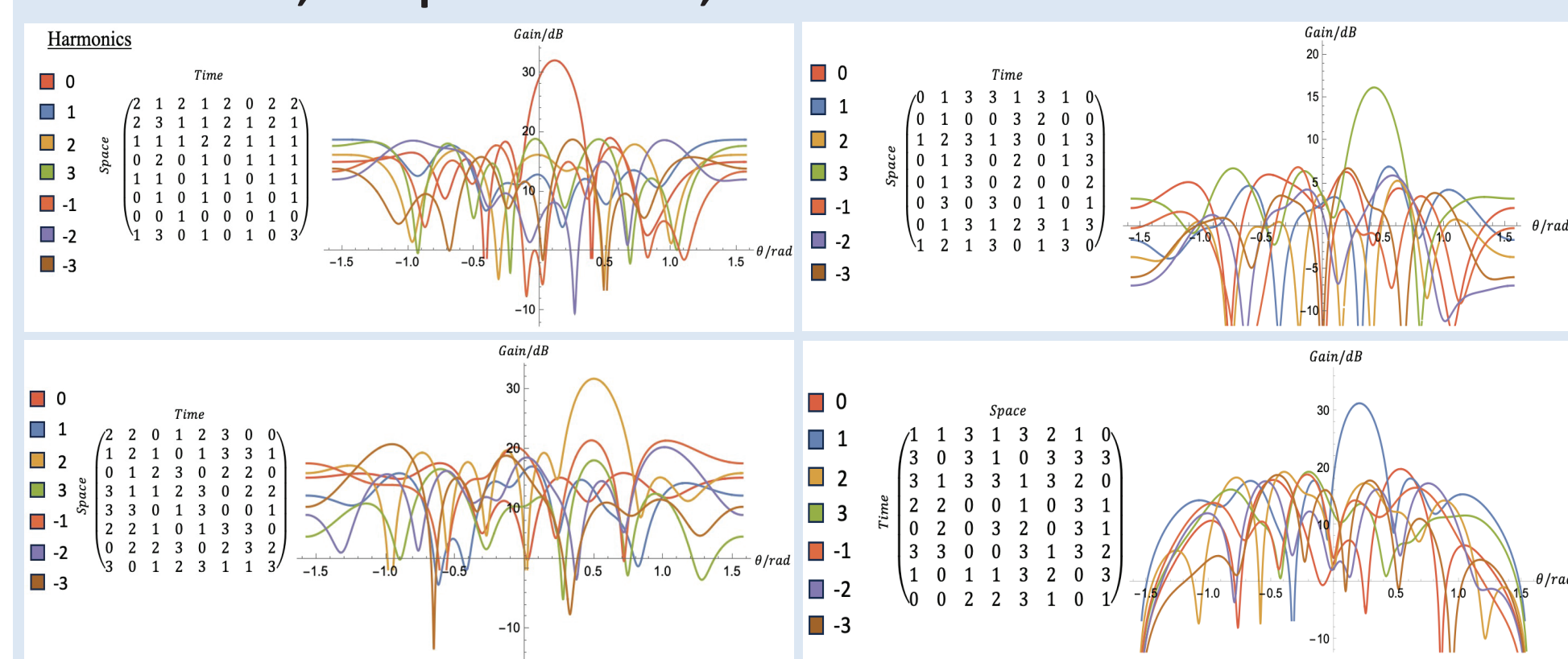
## ACKNOWLEDGEMENT

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## RESULTS & DISCUSSION

(i) Deflect harmonics in arbitrary directions,

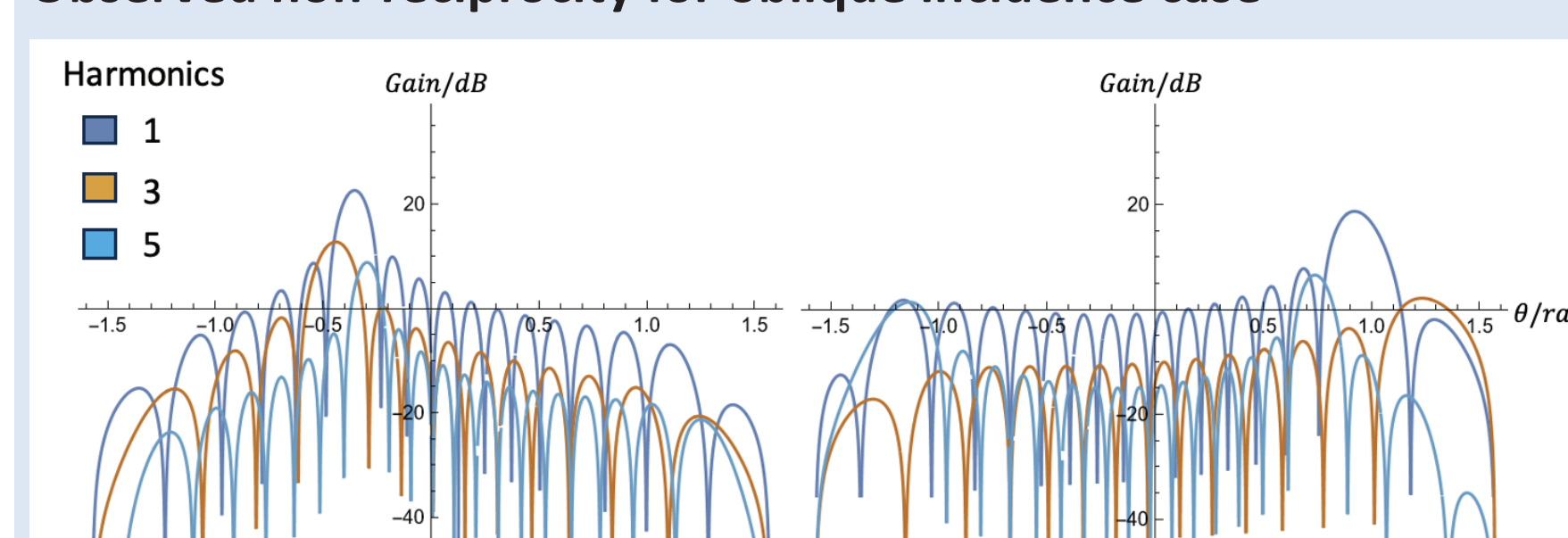
(ii) Minimize sidelobes & other harmonics to a reasonable extent (>10dB below) for normal incidence, oblique incidence, and near-field



(Top-L) Normal plane wave incidence, steer beam of fundamental frequency to 10° (Bottom-L) Normal plane wave incidence, steer beam of 2<sup>nd</sup> harmonic to 30°

(Top-R) Near-field incidence, steer beam of 3<sup>rd</sup> harmonic to 30° (Bottom-R) Oblique plane wave incidence at 30°, steer beam of 1<sup>st</sup> harmonic to 15°

Observed non-reciprocity for oblique incidence case



**Nonreciprocity of metasurface**  
(L)  $f_0$  (5 GHz) wave incident at  $\theta^i = 60^\circ$ ,  $f_1$  (1<sup>st</sup> harmonic @ 5.25 GHz) beam deflects to  $\theta^s = -20.3^\circ$

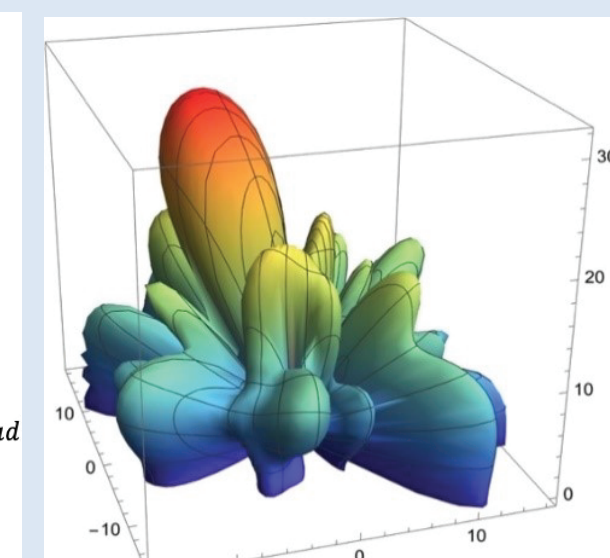
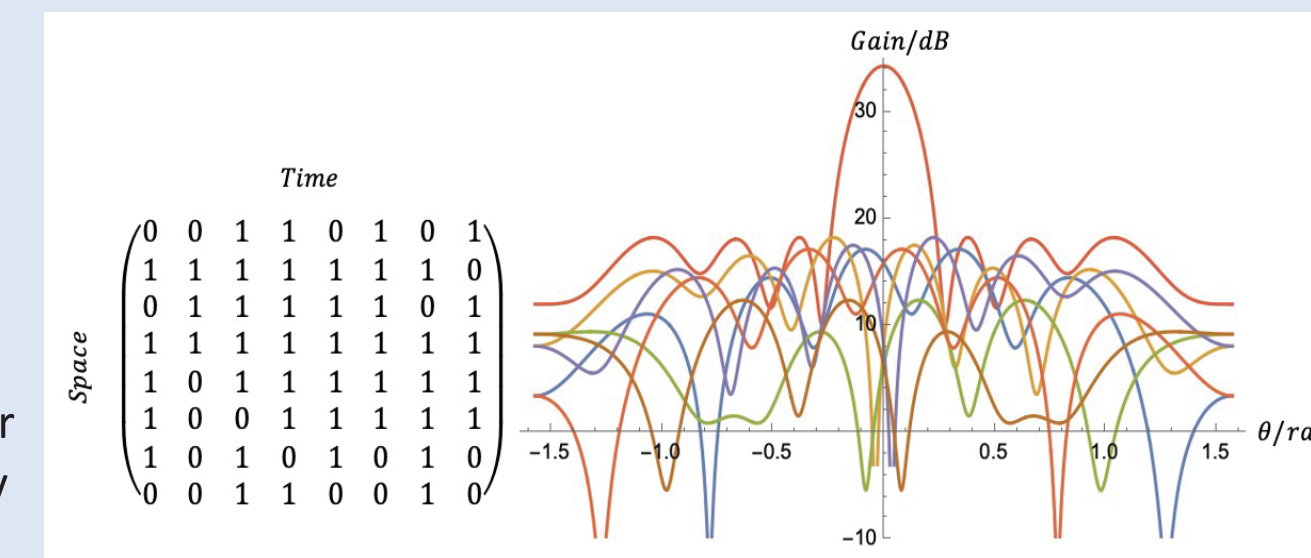
(R)  $f_0$  (@ 5.25 GHz) wave incident at  $\theta^i = -20.3^\circ$ , 1<sup>st</sup> harmonic (@ 5.5 GHz) beam deflects to  $\theta^s = +51.2^\circ$

STM Parameters

- Increasing no. of STMx bits speeds up convergence + improves optimization
- Increasing STMx size slows down convergence + improves optimization
- No significant difference between optimization quality for different incidence scenarios

Method can be generalised to related fields

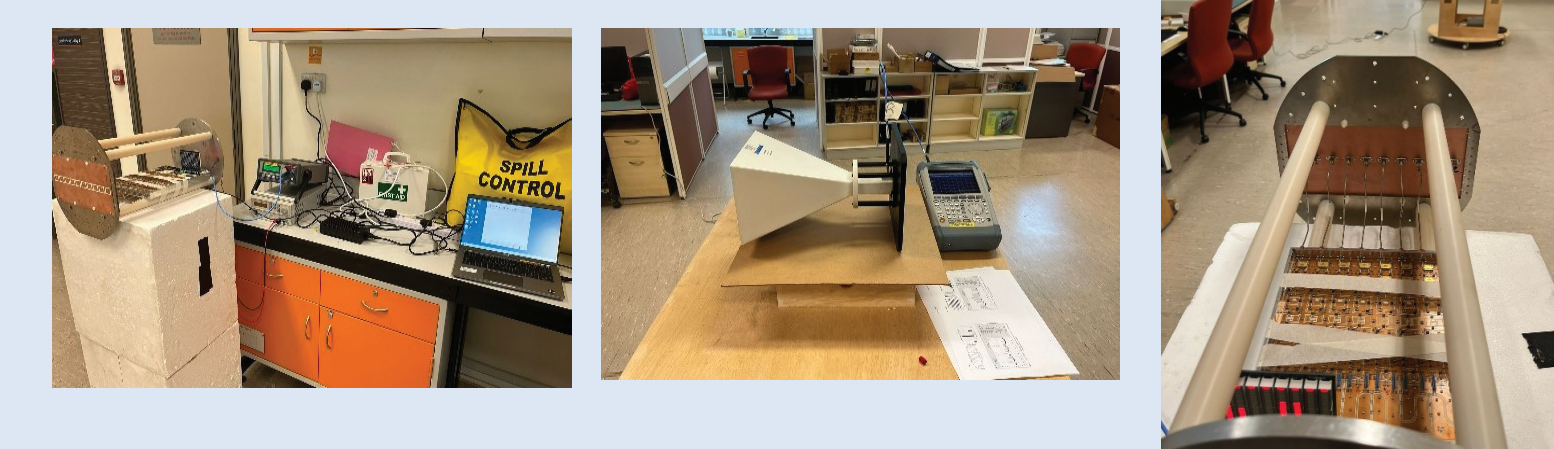
(L) Demo of optimization (sidelobe suppression) for Time-Modulated Linear Array (TMLA)  
(R) Demo of optimization (beam steering & sidelobe suppression) for 8x8 element reflectarray antenna



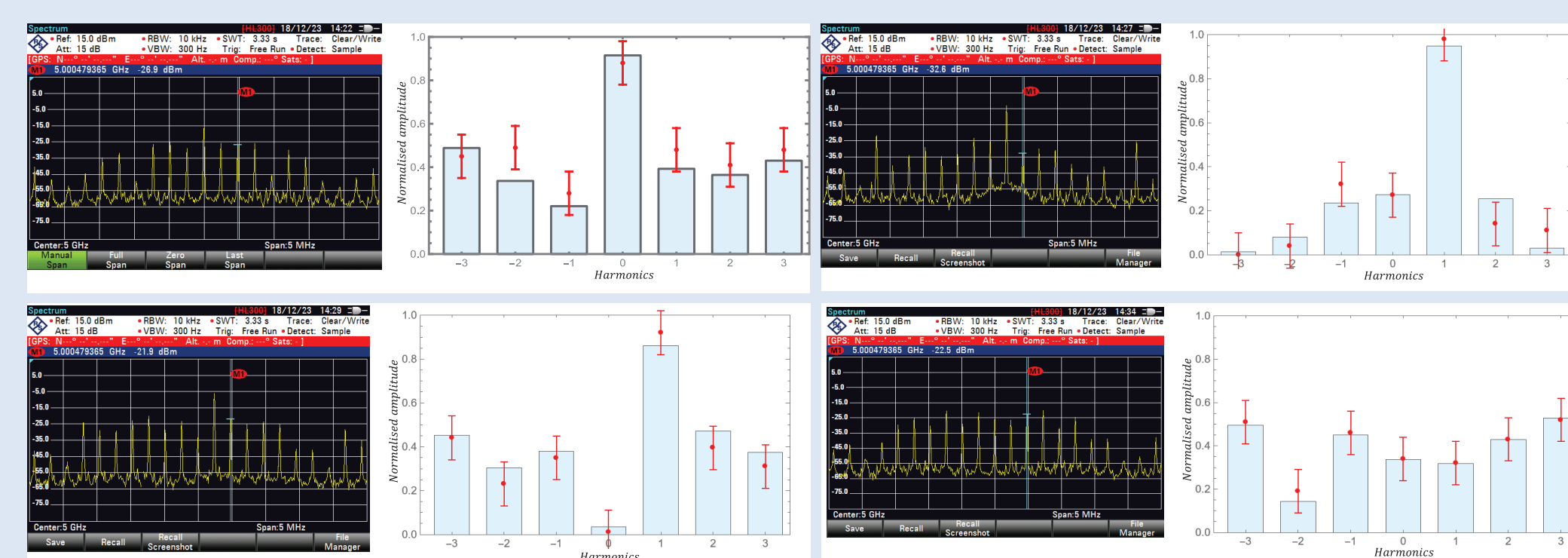
## EXPERIMENTAL VERIFICATION

Simulated space-time metasurface via a time-modulated array:

- (R) Complete view of setup  
(L) STM side with array & its RF backend, power supply & controller  
(M) Receive horn connected to spectrum analyser.



(1) **Very good agreement** found between predicted & observed harmonic power distribution (most deviations within experimental error):



(2) Beam steering in the predicted direction observed

## CONCLUSION AND FUTURE WORK

- Demonstrated capabilities of STM for beam steering and suppression of sidelobes & harmonics in both near-field & far-field incidence cases using genetic optimisation
- Verified theoretical results with experimental data, obtained good fit with theory
- Proved applicability of our method in generating optimised STMx

References

- Zhang, L., Chen, X.Q., Liu, S. *et al.* Space-time-coding digital metasurfaces. *Nat Commun* 9, 4334 (2018). <https://doi.org/10.1038/s41467-018-06802-0>
- Slowik, A., Kwasnicka, H. Evolutionary algorithms and their applications to engineering problems. *Neural Comput & Applic* 32, 12363–12379 (2020). <https://doi.org/10.1007/s00521-020-04832-8>